

mailed  
February 9, 1999  
slc

The U.S. PATENT Office date stamp and Serial No. (if a new application) sets forth the date of receipt of:

Applicant: MATTHEW J. MASON

Patent/Serial No.: 09/115,273 Issued/Filing Date: JULY 14, 1998

Title: METHOD AND APPARATUS FOR INTERACTING WITH A SOURCE  
Code Control System

☐ Patent Application and \$ \_\_\_\_\_ fee

Pages in Spec. \_\_\_\_\_; No. of Claims \_\_\_\_\_

☐ CPA ☐ CIP ☐ CON ☐ DIV

☐ No. of Sheets of Drawings \_\_\_\_\_; ☐ Formal or ☐ Informal

☐ Preliminary Amendment

☐ Response to Notice of Missing Parts ☐ IDS

☐ Assignment and Cover Sheet ☐ Issue Fee Transmittal

☐ Declaration ☐ Transmittal Letter

☐ Power of Attorney ☐ Certificate of Mailing

☐ Small Entity Statement ☐ Express Mail No. \_\_\_\_\_

☐ Petition for Extension of Time ( \_\_\_\_\_ months)

☐ Response ☐ Notice of Appeal

☐ FEE: \$ \_\_\_\_\_ ☐ Appeal Brief

☒ Other: Request For Correction Of Notice of Recordation of  
Assignment Document

File No.: PLAT1000MCFJWC Attorney/Secy: JWC/HJC

Date Mailed: February 9, 1999 Date Due: Not applicable

RECEIVED

FEB 23 1999

FLIESLER DUBB,  
MEYER & LOVEJOY

PLAT 1000

Status

August 9, 1999

August 9, 1999

MCF/JWC

JCL

unc

Remone Request for Corr.  
Not. Recordation...

**FLIESLER  
DUBB  
MEYER &  
LOVEJOY LLP**

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JUL 23 1999

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†JOHN F. GRIFFITH

\*VA BAR ONLY  
\*\*CO BAR ONLY  
†PA BAR ONLY

JOHN W. CARPENTER  
jwc@fdml.com

May 19, 1999

Sharmalla Coates, Examiner  
U.S. Patent and Trademark Office  
Assignment Division  
Box Assignments, CG-4  
1213 Jefferson Davis Highway, Suite 320  
Washington, D.C. 20231

Re: **METHOD AND APPARATUS FOR INTERACTING  
WITH A SOURCE CODE CONTROL**

Inventor: Matthew J. Mason  
SC/Serial No: 09/115,273  
Filed: July 14, 1998  
Our File No: PLAT1000MCF/JWC

**METHOD AND APPARATUS FOR REORGANIZING  
AN ACTIVE DBMS TABLE**

Inventor: Hilton M. Pereira  
SC/Serial No: 09/159,073  
Filed: September 22, 1998  
Our File No: PLAT1001MCF/JWC

**METHOD AND APPARATUS FOR FAST AND  
COMPREHENSIVE DBMS ANALYSIS**

Inventor: Hilton Pereira  
SC/Serial No.: 09/144,011  
Filed Date: August 31, 1998  
Our File No: PLAT1002MCF/JWC

Dear Ms. Coates:

Enclosed please find the following regarding the above-identified patent applications:

- Original Recordation Cover Sheet;
- Copy of Notice of Recordation.

FOUR EMBARCADERO CENTER, FOURTH FLOOR • SAN FRANCISCO, CALIFORNIA 94111-4156  
TELEPHONE 415.362.3800 • FACSIMILE 415.362.2928 • www.fdml.com

FLIESLER DUBB MEYER & LOVEJOY LLP

Assignment Division]

Page 2

May 19, 1999

Please correct the Recordation Cover Sheet as follows:

From:

To:

ASSIGNEE:

ASSIGNEE:

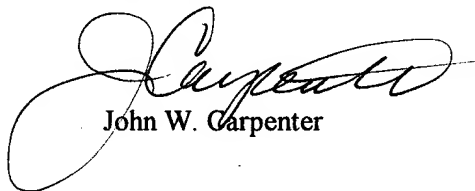
PLATINUM TECHNOLOGY IP, INC.

PLATINUM technology, IP, Inc.

An extra copy of the Recordation Cover Sheet with the correction marked thereon is enclosed.

Thank you for your assistance in this regard.

Very truly yours,



John W. Carpenter

JWC:rc  
Enclosure  
jwc/plat/assignmentltr

# RECORDATION FORM COVER SHEET PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Matthew J. Mason

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: September 28, 1998

2. Name and address of receiving party(ies):

Name: Platinum Technology, Inc.

Address: 1815 South Meyers Road

Oakbrook Terrace, IL 60181-5241

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

A. Patent Application No.: 09/115,273

Title: Method and Apparatus for Interacting  
With a Source Code Control System

Filed Date: July 14, 1998

B. Patent No(s):

Additional numbers attached? ☐ Yes ☒ No

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Martin C. Fliesler, Esq.

Address: Fliesler, Dubb, Meyer & Lovejoy

Four Embarcadero Center, Suite 400

San Francisco, CA 94111

Telephone: (415) 362-3800

6. Total Number of applications and patents involved: 1 X \$40.00 each

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Check Enclosed

8. Fee Authorization. Authorization is given to charge any additional fees or credit any overpayment to Deposit Account No. 06-1325.

Copy. (A duplicate copy of this authorization is **not** enclosed.)

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

John W. Carpenter  
Attorney (Reg. No.: 39,129)

Signature

September 29, 1998  
Date

10. Total number of pages to be recorded: 3 (1 page cover sheet and 2 page document).

## **SOLE TO CORPORATE ASSIGNMENT**

WHEREAS, the undersigned, Matthew J. Mason, a resident of 3715 Calle Cita, Santa Barbara, California, (hereinafter termed "Inventor"), has invented certain new and useful improvements in:

### **METHOD AND APPARATUS FOR INTERACTING WITH A SOURCE CODE CONTROL SYSTEM**

and has executed a declaration or oath for an application for a United States patent disclosing and identifying the invention:

Said application having SC/Serial Number 09/115,273 and filed on the 14th day of July, 1998.

WHEREAS Platinum Technology, Inc. (hereinafter termed "Assignee"), a corporation of the State of Delaware, having a place of business at 1815 South Meyers Road, Oakbrook Terrace, State of Illinois, wishes to acquire the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered by said Inventor (all collectively hereinafter termed "said invention"), and in and to any and all patents, certificates of invention and other forms of protection thereon (hereinafter termed "patents") applied for or granted in the United States and/or other countries.

NOW THEREFORE, for good and valuable consideration acknowledged by said Inventor to have been received in full from said Assignee:

1. Said Inventor does hereby sell, assign, transfer and convey unto said Assignee, the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply in any and all countries of the world for patents, certificates of inventions or other governmental grants on said invention, including the right to apply for patents pursuant to the International Convention for the Protection of Industrial Property or pursuant to any other convention, treaty, agreement or understanding; (c) in and to any and all applications filed and any and all patents, certificates of inventions or other governmental grants granted on said invention in the United States or any other country, including each and every application filed and each and every patent granted on any application which is a division, substitution, or continuation of any of said applications; (d) in and to each and every reissue or extension of any of said patents; and (e) in and to each and every patent claim resulting from a reexamination certificate for any and all of said patents.

2. Said Inventor hereby covenants and agrees to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and other countries. Such cooperation by said Inventor shall include prompt production of pertinent facts and documents, giving of testimony, executing of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for complying with any duty of disclosure; (c) for prosecuting any of said applications; (d) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (e) for filing and prosecuting applications for reissue of any of said patents; (f) for interference or other priority proceedings involving said invention; and (g) for legal proceedings involving

said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, reexamination proceedings, compulsory licensing proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventor in providing such cooperation shall be paid for by said Assignee.

3. The terms and covenants of this Assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventor, said Inventor's heirs, legal representatives and assigns.

4. Said Inventor hereby warrants and represents that said Inventor has not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, the said Inventor has executed this instrument on the date of acknowledgment before the Notary Public as given below and delivered this instrument to said Assignee.

Matthew J. Mason  
(Inventor's Signature)

State of CALIFORNIA

County of SANTA BARBARA

On SEPT. 28, 1998 before me, LINDA A. AKENS,  
(name and title of officer)

personally appeared Matthew J. Mason, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he/~~she~~ executed the same in his/~~her~~ authorized capacity, and that by his/~~her~~ signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Signature Linda A. Akens



10-06-1999



101140650

PATENTS ONLY

SR SHEET

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

PLATINUM technology, inc.  
1815 South Meyers Road  
Oakbrook Terrace, Illinois 60181

Additional name(s) of conveying party(ies) attached? ☒ Yes ☐ No

2. Name and address of receiving party(ies):

Name: PLATINUM technology IP, inc.

Address: 1815 South Meyers Road

Oakbrook Terrace, Illinois 60181

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: December 28, 1998

4. Application number(s) or patent number(s):

A. Patent Application No.:

Title: PLEASE SEE ATTACHED

Filed Date: \_\_\_\_\_

B. Patent No(s):

PLEASE SEE ATTACHED

Additional numbers attached? ☒ Yes ☐ No

If this document is being filed together with a new application, the execution date of the application is: Not applicable

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Martin C. Fliesler, Esq.

Address: Fliesler, Dubb, Meyer & Lovejoy

Four Embarcadero Center, Suite 400

San Francisco, CA 94111

Telephone: (415) 362-3800

6. Total Number of applications and patents involved: 5 X \$40.00 each

7. Total fee (37 CFR 3.41).....\$ 200.00

☒ Check Enclosed

200E

8. Fee Authorization. Authorization is given to charge any additional fees or credit any overpayment to Deposit Account No. 06-1325.

Copy. (A duplicate copy of this authorization is not enclosed.)

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

John W. Carpenter

Attorney (Reg. No.: 39,129) Signature

*John W. Carpenter*

Date

1/6/99

10. Total number of pages to be recorded: 6 (1 page cover sheet and 5 page document).

**CORPORATE TO CORPORATE**  
**ASSIGNMENT OF PATENTS, APPLICATIONS, AND INVENTIONS**

WHEREAS, PLATINUM technology, inc., a corporation organized and existing under the laws of the State of Delaware and having a principal place of business at 1815 South Meyers Road; Oakbrook Terrace, IL 60181 (hereinafter "ASSIGNOR"), is the owner of all right, title and interest in the following Letters Patents and applications therefor:

Title:	METHOD AND APPARATUS FOR INTERACTING WITH A SOURCE CODE CONTROL SYSTEM
Inventor(s):	Matthew J. Mason
Application SC/Serial No. or Patent No.:	09/115,273
Filed or Issued Date:	July 14, 1998
Attorney Docket No.:	PLAT1000MCF/JWC
PRIOR ASSIGNMENT DATA (INVENTOR(S) TO CORPORATE)	
Prior Assignment Date:	September 28, 1998
Recording Data (Date/Reel/Frames):	Unknown

Title:	METHOD AND APPARATUS FOR REORGANIZING AN ACTIVE DBMS TABLE
Inventor(s):	Hilton M. Pereira
Application SC/Serial No. or Patent No.:	09/159,073
Filed or Issued Date:	September 22, 1998
Attorney Docket No.:	PLAT1001MCF/JWC
PRIOR ASSIGNMENT DATA (INVENTOR(S) TO CORPORATE)	
Prior Assignment Date:	September 9, 1998
Recording Data (Date/Reel/Frames):	Unknown



Title:	METHOD AND APPARATUS FOR FAST AND COMPREHENSIVE DBMS ANALYSIS
Inventor(s):	Hilton Pereira
Application SC/Serial No. or Patent No.:	09/144,011
Filed or Issued Date:	August 31, 1998
Attorney Docket No.:	PLAT1002MCF/JWC
PRIOR ASSIGNMENT DATA (INVENTOR(S) TO CORP/STATE)	
Prior Assignment Date:	August 28, 1998
Recording Data (Date/Reel/Frames):	Unknown

Title:	METHOD AND APPARATUS FOR CONCURRENT DBMS TABLE OPERATIONS
Inventor(s):	Rosita Mirzadeh
Application SC/Serial No. or Patent No.:	unknown
Filed or Issued Date:	November 25, 1998
Attorney Docket No.:	PLAT1003MCF/JWC
PRIOR ASSIGNMENT DATA (INVENTOR(S) TO CORP/STATE)	
Prior Assignment Date:	November 24, 1998
Recording Data (Date/Reel/Frames):	Unknown

Title:	SYSTEM FOR SCHEDULING AND MONITORING COMPUTER PROCESSES
Inventor(s):	Headley, et al.
Application SC/Serial No. or Patent No.:	Unknown
Filed or Issued Date:	December 22, 1998
Attorney Docket No.:	PLAT1004MCF/JWC
PRIOR ASSIGNMENT DATA (INVENTOR(S) TO CORPORATE)	
Prior Assignment Date:	December 21, 1998
Recording Data (Date/Reel/Frames):	Unknown

Title:	METHOD AND APPARATUS FOR INTERFACING WITH INTELLIGENT 3D VISUAL COMPONENTS
Inventor(s):	Lynn, et al.
Application SC/Serial No. or Patent No.:	09/187,284
Filed or Issued Date:	November 5, 1998
Attorney Docket No.:	PLAT1005MCF/JWC
PRIOR ASSIGNMENT DATA (INVENTOR(S) TO CORPORATE)	
Prior Assignment Date:	December 7, 1998 and December 9, 1998
Recording Data (Date/Reel/Frames):	Unknown

WHEREAS, PATENT PROPERTY shall include each and all of the following:

(a) the foregoing Letters Patents and applications therefor and the inventions disclosed therein, and all embodiments of such inventions heretofore assigned to ASSIGNOR (all collectively hereinafter termed "said inventions");

(b) all rights to apply in any and all countries of the world for patents, certificates of invention or other governmental grants on said inventions, including the right to apply for patents pursuant to the International Convention for the Protection of Industrial Property or pursuant to any other convention, treaty, agreement or understanding;

(c) any and all applications filed and any and all patents, certificates of invention or other governmental grants granted on said inventions in the United States or any other country, including each and every application filed and each and every patent granted on any application which is a division, substitution, or continuation of any of said applications;

(d) each and every reissue or extension of any of said patents;

(e) each and every patent claim resulting from a reexamination certificate for any and all of said patents, and

(f) the right to sue for and all claims for damages, profits or other recovery resulting from infringement, including past infringement, of any of the foregoing.

WHEREAS, PLATINUM technology IP, inc., a corporation organized and existing under the laws of the State of Delaware and having a principal place of business at 1815 South Meyers Road; Oakbrook Terrace, IL 60181 (hereinafter "ASSIGNEE") is to acquire from ASSIGNOR the entire right, title and interest in and to said Patent Property.

NOW THEREFORE, for good and valuable consideration acknowledged by said ASSIGNOR to have been received in full from said ASSIGNEE:

1. ASSIGNOR hereby sells, assigns, transfers and otherwise conveys to ASSIGNEE, and ASSIGNEE's successors, legal representatives and assigns, the entire right, title and interest in and to the PATENT PROPERTY.

2. ASSIGNOR further agrees to execute and cause to be executed such additional instruments as may be necessary or desirable to confirm the transfer of rights as herein contemplated, to record the transfer of rights in the United States and throughout the world, and to permit ASSIGNEE, and ASSIGNEE's successors, legal representatives and assigns to enforce the PATENT PROPERTY.

IN WITNESS WHEREOF, ASSIGNOR has caused this instrument to be executed by its duly authorized corporate officer and delivered to ASSIGNEE this 28th day of December, 1998, in the State of Illinois.

PLATINUM technology, inc.,

By: [Signature]  
Name: Larry Freedman

Title: Senior Vice President and General Counsel

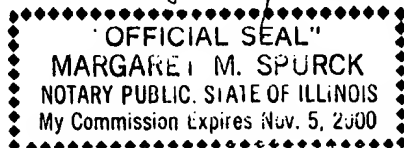
State of ILLINOIS  
County of DUPAGE

On DEC. 28, 1998 before me, LARRY S FREEDMAN, SR. VP + GENERAL COUNS  
(Name and title of officer)

personally appeared Larry Freedman, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Signature [Signature]



PATENT APPLICATIONS TO BE ASSIGNED

Patent Application No.:	09/115,273	PLAT 1000
Title	METHOD AND APPARATUS FOR INTERACTING WITH A SOURCE CODE CONTROL SYSTEM	
Filed Date	July 14, 1998	
Patent Application No.:	09/159,073	
Title	METHOD AND APPARATUS FOR REORGANIZING AN ACTIVE DBMS TABLE	
Filed Date	September 22, 1998	
Patent Application No.:	09/144,011	
Title	METHOD AND APPARATUS FOR FAST AND COMPREHENSIVE DBMS ANALYSIS	
Filed Date	August 31, 1998	
Patent Application No.:	Unknown	
Title	METHOD AND APPARATUS FOR CONCURRENT DBMS TABLE OPERATIONS	
Filed Date	November 25, 1998	
Patent Application No.:	Unknown	
Title	SYSTEM FOR SCHEDULING AND MONITORING COMPUTER PROCESSES	
Filed Date	December 22, 1998	